

UNITED STATES BANKRUPTCY COURT

EASTERN DISTRICT OF WISCONSIN

In re: ALLUVIUM FUND LLC
fka Greenpoint Tactical Income Fund LLC

Debtor(s)

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Case No. 19-29613

Lead Case No. 19-29613

☒ Jointly Administered

Post-confirmation Report

Chapter 11

Quarter Ending Date: 12/31/2023

Petition Date: 10/04/2019

Plan Confirmed Date: 05/19/2022

Plan Effective Date: 05/19/2022

This Post-confirmation Report relates to: ☒ Reorganized Debtor

☐ Other Authorized Party or Entity:

Name of Authorized Party or Entity

/s/ Annette Kaja

Signature of Responsible Party

01/19/2024

Date

Annette Kaja

Printed Name of Responsible Party

34334 Valley Road, Oconomowoc, WI 53066

Address

STATEMENT: This Periodic Report is associated with an open bankruptcy case; therefore, Paperwork Reduction Act exemption 5 C.F.R. § 1320.4(a)(2) applies.

Part 1: Summary of Post-confirmation Transfers

	Current Quarter	Total Since Effective Date
a. Total cash disbursements	\$435,065	\$6,963,335
b. Non-cash securities transferred	\$0	\$0
c. Other non-cash property transferred	\$0	\$0
d. Total transferred (a+b+c)	\$435,065	\$6,963,335

Part 2: Preconfirmation Professional Fees and Expenses

a.			Approved Current Quarter	Approved Cumulative	Paid Current Quarter	Paid Cumulative
	Professional fees & expenses (bankruptcy) incurred by or on behalf of the debtor		\$0	\$4,679,401	\$0	\$4,065,032
	Aggregate Total					
	Itemized Breakdown by Firm					
	Firm Name	Role				
i	Steinhilber Swanson LLP	Lead Counsel	\$0	\$2,306,557	\$0	\$1,732,337
ii	Freeborn & Peters	Other	\$0	\$1,159,076	\$0	\$1,159,076
iii	Morris Anderson	Financial Professional	\$0	\$672,718	\$0	\$672,718
iv	Husch Blackwell LLP	Special Counsel	\$0	\$26,520	\$0	\$0
v	Phoenix	Other	\$0	\$189,793	\$0	\$189,793
vi	Clifton Larson Allen LLP	Financial Professional	\$0	\$41,806	\$0	\$41,806
vii	Tucker Arensberg, P.C.	Other	\$0	\$87,245	\$0	\$87,245
viii	Latimer LaVey Fyock, LLC	Special Counsel	\$0	\$21,390	\$0	\$21,390
ix	Braganca Law LLC	Special Counsel	\$0	\$140,165	\$0	\$140,165
x	Kopecky Schumacher Rosenber	Special Counsel	\$0	\$20,502	\$0	\$20,502
xi	Landsman Saldinger Carroll	Special Counsel	\$0	\$13,629	\$0	\$0
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b.			Approved Current Quarter	Approved Cumulative	Paid Current Quarter	Paid Cumulative
	Professional fees & expenses (nonbankruptcy) incurred by or on behalf of the debtor		\$307,150	\$1,295,703	\$307,150	\$479,597
	<i>Aggregate Total</i>					
	<i>Itemized Breakdown by Firm</i>					
	Firm Name	Role				
i	Braganca Law	Special Counsel	\$0	\$478,278	\$0	\$0
ii	Landsman Saldinger Carroll	Special Counsel	\$0	\$34,751	\$0	\$34,751
iii	Steinhilber Swanson LLP	Lead Counsel	\$0	\$333,233	\$0	\$17,388
iv						
v						
vi						

vii	Smith Gambrell Russell	Special Counsel	\$212,062	\$268,910	\$212,062	\$212,062
viii	Phoenix Management Services	Other	\$61,992	\$147,435	\$61,992	\$147,435
ix	Clifton Larson Allen LLP	Financial Professional	\$33,096	\$33,096	\$33,096	\$67,961
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c.	All professional fees and expenses (debtor & committees)			\$0	\$0	\$0	\$0

Part 3: Recoveries of the Holders of Claims and Interests under Confirmed Plan

	Total Anticipated Payments Under Plan	Paid Current Quarter	Paid Cumulative	Allowed Claims	% Paid of Allowed Claims
a. Administrative claims	\$1,461,354	\$0	\$745,905	\$9,240,134	8%
b. Secured claims	\$0	\$0	\$0	\$0	0%
c. Priority claims	\$0	\$0	\$0	\$0	0%
d. General unsecured claims	\$1,095,373	\$0	\$835,145	\$1,095,373	76%
e. Equity interests	\$0	\$0	\$0		

Part 4: Questionnaire

- a. Is this a final report? Yes ☐ No ☒
- If yes, give date Final Decree was entered: _____
- If no, give date when the application for Final Decree is anticipated: 03/31/2024
- b. Are you current with quarterly U.S. Trustee fees as set forth under 28 U.S.C. § 1930? Yes ☒ No ☐

Privacy Act Statement

28 U.S.C. § 589b authorizes the collection of this information and provision of this information is mandatory. The United States Trustee will use this information to calculate statutory fee assessments under 28 U.S.C. § 1930(a)(6) and to otherwise evaluate whether a reorganized chapter 11 debtor is performing as anticipated under a confirmed plan. Disclosure of this information may be to a bankruptcy trustee when the information is needed to perform the trustee's duties, or to the appropriate federal, state, local, regulatory, tribal, or foreign law enforcement agency when the information indicates a violation or potential violation of law. Other disclosures may be made for routine purposes. For a discussion of the types of routine disclosures that may be made, you may consult the Executive Office for United States Trustee's systems of records notice, UST-001, "Bankruptcy Case Files and Associated Records." *See* 71 Fed. Reg. 59,818 et seq. (Oct. 11, 2006). A copy of the notice may be obtained at the following link: http://www.justice.gov/ust/eo/rules_regulations/index.htm. Failure to provide this information could result in the dismissal or conversion of your bankruptcy case, or other action by the United States Trustee. 11 U.S.C. § 1112(b)(4)(F).

I declare under penalty of perjury that the foregoing Post-confirmation Report and its attachments, if any, are true and correct and that I have been authorized to sign this report.

/s/ Annette Kaja

Signature of Responsible Party

Managing Member

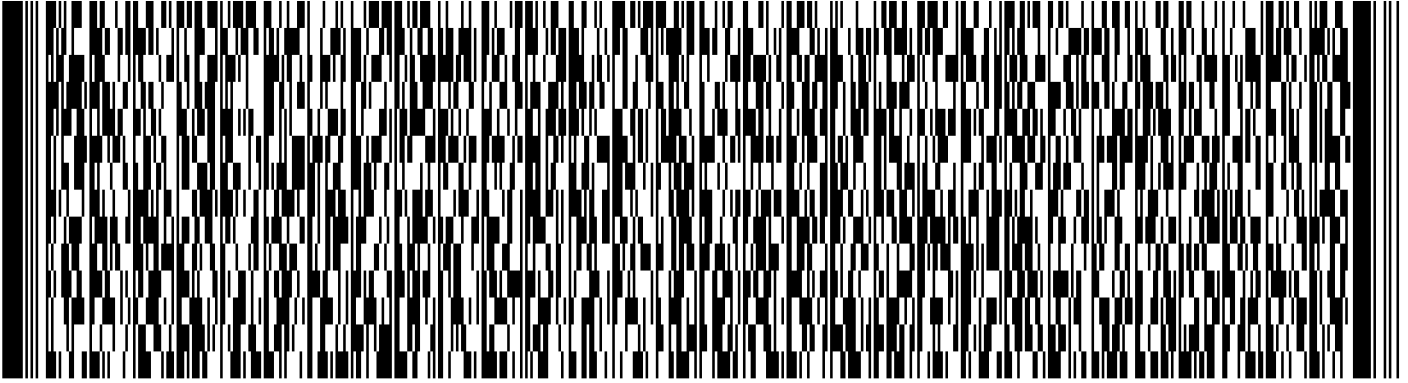
Title

Annette Kaja

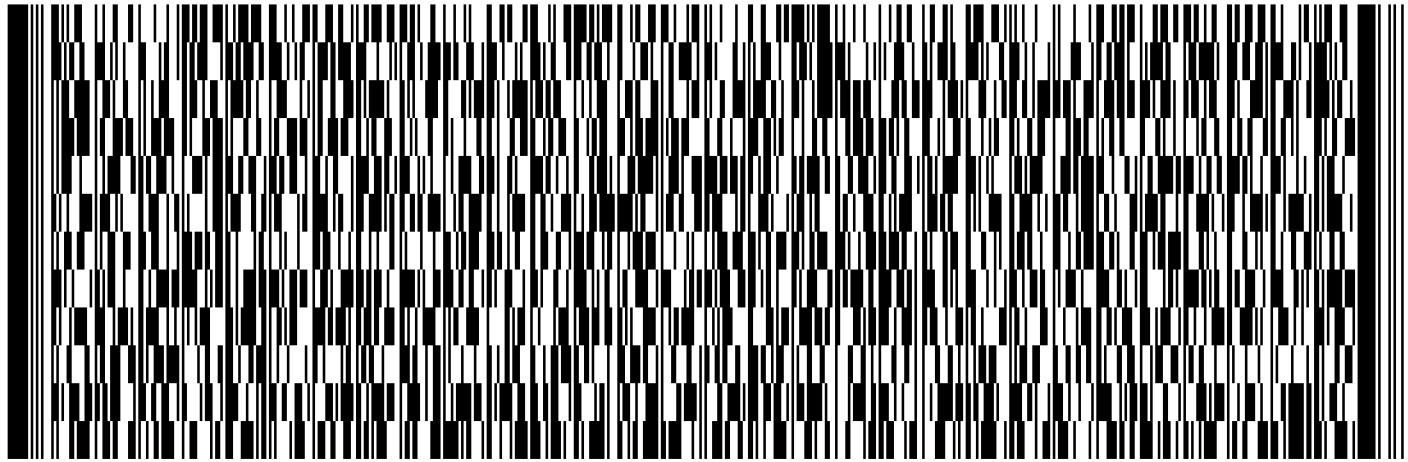
Printed Name of Responsible Party

01/19/2024

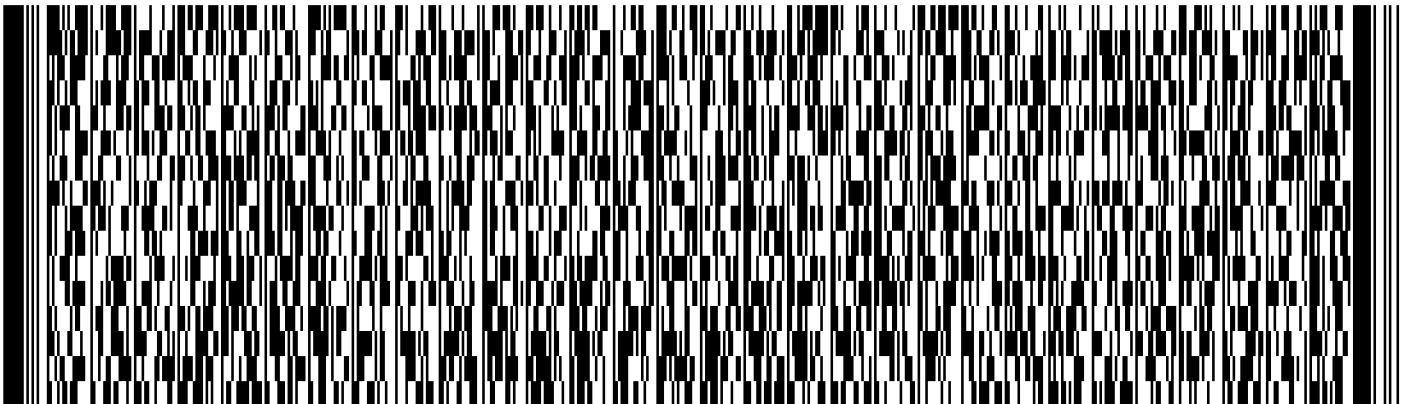
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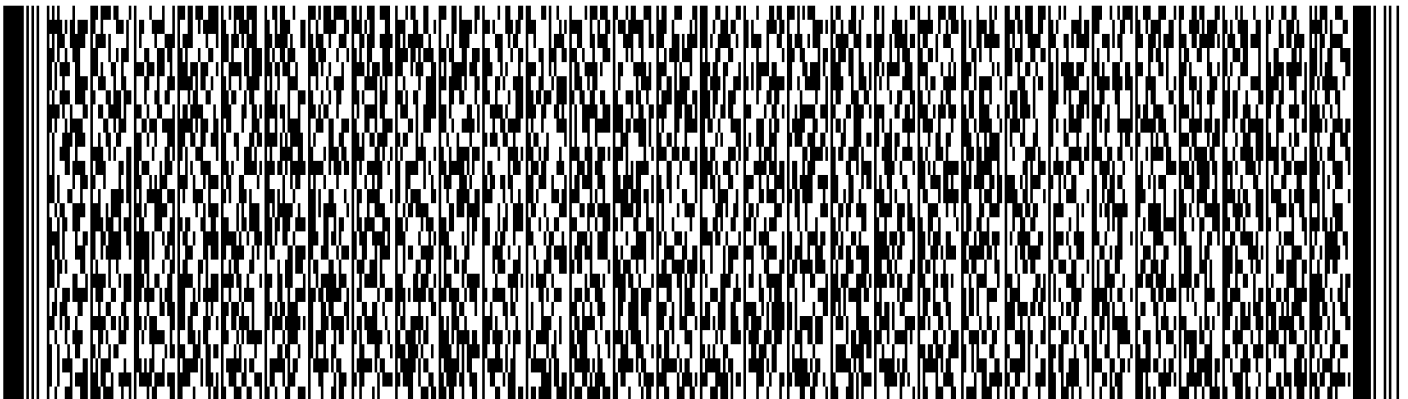
Page 1



Other Page 1



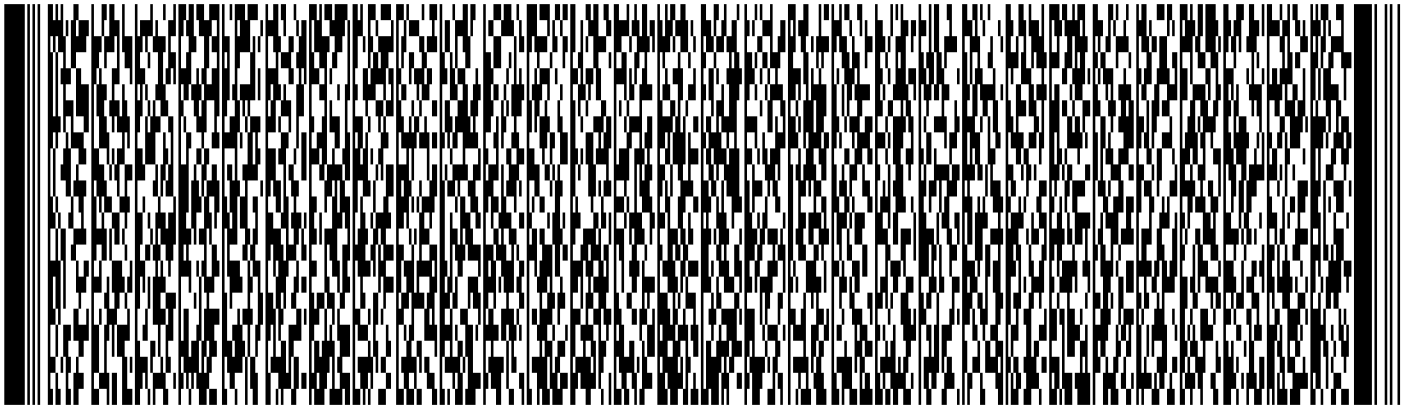
Page 2 Minus Tables



Bankruptcy Table 1-50



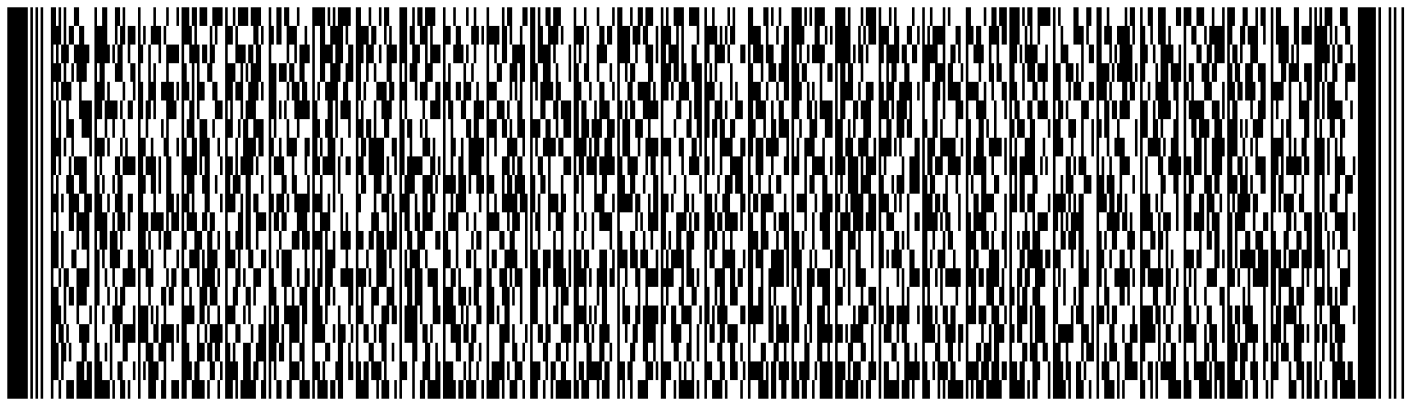
Bankruptcy Table 51-100



Non-Bankruptcy Table 1-50



Non-Bankruptcy Table 51-100



Part 3, Part 4, Last Page

Period Covered:
October 01, 2023 - October 31, 2023
Page 1 of 1

Annette Kaja
34334 Valley Rd
Oconomowoc WI 53066

Case Number
Case Name
Trustee Number
Trustee Name
ALLUVIUM
Alluvium Fund, LLC
690700
Annette Kaja
FIDUCIARY

Questions:
800.634.7734
banking.services@stretto.com
www.stretto.com

Consolidated Balance Summary

Account	Number	Ending Balance Prior Period	Ending Balance This Period
Checking Account			
TRUSTEE CHECKING		\$185,385.32	\$78,439.85
Total		\$185,385.32	\$78,439.85

TRUSTEE CHECKING

Account Number: [REDACTED]

Enclosures	0	Beginning Balance	\$185,385.32
		+Total Additions	\$0.00
		-Total Subtractions	\$106,945.47
		Ending Balance	\$78,439.85

* Indicates a Skip in Check Number(s)
"E" Indicate an Electronic Check

Debits

Date	Description	Subtractions
10-10	WIRE TO ALLUVIUM GOVERNANCE BOARD	\$20,000.00
10-10	WIRE TO SMITH, GAMBRELL, RUSSELL,	\$56,847.97
10-10	WIRE TO CLIFTONLARSONALLEN LLP	\$29,977.50
10-31	BANK & TECH FEE	\$120.00

Daily Balances

Date	Amount	Date	Amount	Date	Amount
10-10	\$78,559.85	10-31	\$78,439.85		

In case of errors or questions about your account telephone Stretto at: 800.634.7734 or email us at: banking.services@stretto.com
As soon as you recognize an error, or if you need more information about a transaction listed on the statement or receipt:

Please Provide:

- Name and account number.
- A detailed explanation of why you believe there is an error or why you need more information.
- The dollar amount of the suspected error.
- We must hear from you no later than 60 days after we sent you the FIRST statement on which the error appeared.

We will investigate your disputed transactions and correct any error promptly. If not resolved within 10 business days, Metropolitan Commercial Bank will credit your account for the amount in error, and notify you within 2 business days, so that you may use the funds during the time it takes us to complete our investigation.

Period Covered:
November 01, 2023 - November 30, 2023
Page 1 of 2

Annette Kaja
34334 Valley Rd
Oconomowoc WI 53066

Case Number
Case Name
Trustee Number
Trustee Name

ALLUVIUM
Alluvium Fund, LLC
690700
Annette Kaja
FIDUCIARY

Questions:
800.634.7734
banking.services@stretto.com
www.stretto.com

Consolidated Balance Summary

Account	Number	Ending Balance Prior Period	Ending Balance This Period
Checking Account			
TRUSTEE CHECKING		\$78,439.85	\$171,052.87
Total		\$78,439.85	\$171,052.87

TRUSTEE CHECKING

Account Number: [REDACTED]

Enclosures	0	Beginning Balance	\$78,439.85
		+Total Additions	\$301,061.25
		-Total Subtractions	\$208,448.23
		Ending Balance	\$171,052.87

* Indicates a Skip in Check Number(s)
"E" Indicate an Electronic Check

Debits

Date	Description	Subtractions
11-09	WI DFI WS2 CFI C POS	\$10.00
11-10	WIRE TO AMK Consulting LLC	\$34,811.83
11-10	WIRE TO PHOENIX MANAGEMENT	\$27,869.66
11-22	WIRE TO CREAM CITY REPORTING, LLC	\$1,061.25
11-25	BEST BUY 00 POS	\$556.49
11-27	WIRE TO Cream City Reporting LLC	\$1,061.25
11-28	TOOMEY REPORTING POS	\$2,597.75
11-28	WIRE TO SMITH GAMBRELL RUSSELL	\$140,080.00
11-30	BANK & TECH FEE	\$400.00

Credits

Date	Description	Additions
11-10	WIRE FROM ANNETTE KAJA	\$300,000.00
11-24	WIRE FROM ANNETTE KAJA	\$1,061.25

Daily Balances

Date	Amount	Date	Amount	Date	Amount
11-09	\$78,429.85	11-10	\$315,748.36	11-22	\$314,687.11
11-24	\$315,748.36	11-25	\$315,191.87	11-27	\$314,130.62
11-28	\$171,452.87	11-30	\$171,052.87		

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Period Covered:
December 01, 2023 - December 31, 2023
Page 1 of 1

Annette Kaja
34334 Valley Rd
Oconomowoc WI 53066

Case Number
Case Name
Trustee Number
Trustee Name

ALLUVIUM
Alluvium Fund, LLC
690700
Annette Kaja
FIDUCIARY

Questions:
800.634.7734
banking.services@stretto.com
www.stretto.com

Consolidated Balance Summary

Account	Number	Ending Balance Prior Period	Ending Balance This Period
Checking Account			
TRUSTEE CHECKING		\$171,052.87	\$51,381.53
Total		\$171,052.87	\$51,381.53

TRUSTEE CHECKING

Account Number: [REDACTED]

Enclosures	0	Beginning Balance	\$171,052.87
		+Total Additions	\$0.00
		-Total Subtractions	\$119,671.34
		Ending Balance	\$51,381.53

* Indicates a Skip in Check Number(s)
"E" Indicate an Electronic Check

Debits

Date	Description	Subtractions
12-07	WIRE TO PHOENIX MANAGEMENT SERVIC	\$30,818.25
12-08	CLIFTON LARSON A POS	\$3,167.22
12-29	BANK & TECH FEE	\$400.00
12-29	WIRE TO PHOENIX MANAGEMENT SERVIC	\$13,303.75
12-29	WIRE TO SMITH GAMBRELL RUSSELL	\$71,982.12

Daily Balances

Date	Amount	Date	Amount	Date	Amount
12-07	\$140,234.62	12-08	\$137,067.40	12-29	\$51,381.53

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